

Enhanced Competency Framework (ECF) on Compliance (Core & Professional Level) Training and Examination Schedule

Important Notes:

- HKIB is appointed as the Professional Qualifications Assessment Agency effective from 1 August 2020.
- Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the **QF-recognised Professional Qualifications** (i.e. ACOP*/CCOP(GC)*/CCOP(IC)*).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

*Recognised under the QF at Level 4, QR Registration No.: 23/000890/L4, Validity Period from 01/11/2023 to 31/07/2025

#Recognised under the QF at Level 5, QR Registration No.: 23/000891/L5, Validity Period from 01/11/2023 to 31/07/2025

^Recognised under the QF at Level 5, QR Registration No.: 23/000892/L5, Validity Period from 01/11/2023 to 31/07/2025



- WAM Programme⁵

Training¹: May 2024 – Apr 2025

| Module Name | Module No. | Code | Date | Time | Language (supplemented with English materials) | Application Deadline ⁷ | Fee ^{8,9} (HKD) |
|--|------------|--|--|----------------------------------|--|-----------------------------------|--------------------------|
| Ethics and Corporate Governance in Banking Industry ⁶ (Credit: 10) | 1 | HECO01P24081 FLEX Learning – Virtual Classroom | 7 Aug 2024 (Wed) 14 Aug 2024 (Wed) 21 Aug 2024 (Wed) 28 Aug 2024 (Wed) 4 Sep 2024 (Wed) | 19:00 – 22:00 | Cantonese | CLOSED | |
| | | (NEW!!) HECO01P25011 FLEX Learning – Virtual Classroom | 11 Jan 2025 (Sat) 18 Jan 2025 (Sat) | 10:00 - 13:00 & 14:00 - 17:00 | Cantonese | 27 Dec 2024 (Fri) | 4,300 |
| | | (NEW!!) HECO01P25041 FLEX Learning – Virtual Classroom | 9 Apr 2025 (Wed) 16 Apr 2025 (Wed) 23 Apr 2025 (Wed) 30 Apr 2025 (Wed) 7 May 2025 (Wed) | 19:00 – 22:00 | English | 25 Mar 2025 (Tue) | 4,300 |
| Regulatory Framework and Compliance in Banking Industry ⁶ (Credit: 10) | 2 | HECO02P24051 FLEX Learning – Virtual Classroom | 16 May 2024 (Thu) 23 May 2024 (Thu) 30 May 2024 (Thu) 6 Jun 2024 (Thu) 13 Jun 2024 (Thu) | 19:00 – 22:00 | English | CLOSED | |
| | | HECO02P24091 FLEX Learning – Virtual Classroom | 25 Sep 2024 (Wed) 2 Oct 2024 (Wed) 9 Oct 2024 (Wed) 16 Oct 2024 (Wed) 23 Oct 2024 (Wed) | 19:00 – 22:00 | Cantonese | CLOSED | |
| | | (NEW!!) HECO02P25021 FLEX Learning – Virtual Classroom | 8 Feb 2025 (Sat) 15 Feb 2025 (Sat) 22 Feb 2025 (Sat) | 10:00 - 13:00 & 14:00 - 17:00 | Cantonese | 22 Jan 2025 (Wed) | 4,300 |
| An Effective Compliance Function (Credit: 20) | 3 | HECO03P24071 Face-to-face Training | 13 Jul 2024 (Sat) (LIVE) 20 Jul 2024 (Sat) (LIVE) 27 Jul 2024 (Sat) (VAT ⁴) | 10:00 – 13:00 & 14:00 – 17:00 | Cantonese (English for VAT ⁴ Class) | CLOSED | |
| | | (Updated) HECO03P24111 Hybrid Mode Training | 20 Nov 2024 (Wed) (FLEX ³) 27 Nov 2024 (Wed) (FLEX ³) 4 Dec 2024 (Wed) (FLEX ³) 6 Dec 2024 (Fri) (FLEX ³) 11 Dec 2024 (Wed) (VAT ⁴) 12 Dec 2024 (Thu) (VAT ⁴) 18 Dec 2024 (Wed) (VAT ⁴) 19 Dec 2024 (Thu) (VAT ⁴) | 19:00 – 22:00 | English | 31 Oct 2024 (Thu) | 7,400 |
| Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30) | 4 | HECO04P24091 Face-to-face Training | 14 Sep 2024 (Sat) (LIVE) | 10:00 – 13:00 & 14:00 – 17:00 | English | CLOSED | |
| | | | 21 Sep 2024 (Sat) (LIVE) | | | | |
| | | | 28 Sep 2024 (Sat) (VAT ⁴) | 14:00 – 17:00 | | | |
| | | | 5 Oct 2024 (Sat) (LIVE) | 10:00 – 13:00 | | | |
| | | 5 Oct 2024 (Sat) (VAT ⁴) | 14:00 – 17:00 | | | | |
| | | (NEW!!) HECO04P25031 Face-to-face Training | 8 Mar 2025 (Sat) (LIVE) 15 Mar 2025 (Sat) (LIVE) 22 Mar 2025 (Sat) (LIVE) 22 Mar 2025 (Sat) (VAT ⁴) 29 Mar 2025 (Sat) (VAT ⁴) | 10:00 - 13:00 & 14:00 - 17:00 | Cantonese (English for VAT ⁴ Class) | 24 Feb 2025 (Mon) | 8,800 |

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|--|---|--|---|---|-----------|------------------|-------|
| Investment and Insurance Compliance (Credit: 30)  | 5 | HECO05P24061 Face-to-face Training | 13 Jun 2024 (Thu) 17 Jun 2024 (Mon) 24 Jun 2024 (Mon) 4 Jul 2024 (Thu) 8 Jul 2024 (Mon) 11 Jul 2024 (Thu) 15 Jul 2024 (Mon) | 19:00 – 22:00 | Cantonese | CLOSED | |
| | | (Updated) HECO05P24111 Face-to-face Training | 16 Nov 2024 (Sat) 16 Nov 2024 (Sat) 23 Nov 2024 (Sat) 7 Dec 2024 (Sat) 7 Dec 2024 (Sat) 14 Dec 2024 (Sat) 14 Dec 2024 (Sat) | 10:00 - 13:00 14:00 - 17:00 14:00 - 17:00 10:00 - 13:00 14:00 - 17:00 10:00 - 13:00 14:00 - 17:00 | English | 4 Nov 2024 (Mon) | 6,500 |

Examination¹: Q3 – Q4 2024, Q1 – Q2 2025

In general, the candidates need around 2 months self-studying time to prepare for the examination. However, you may select the most appropriate examination session according to your competence level and learning plan.


| Module Name | Module No. | Code | Date | Time | Application Deadline ⁷ | Fee ⁸ (HKD) |
|--|------------|-------------------------|-------------------|---------------|-----------------------------------|------------------------|
| Ethics and Corporate Governance in Banking Industry (Credit: 10) | 1 | EXAM-2022-10365B | 28 Nov 2024 (Thu) | 19:00 - 20:30 | 28 Oct 2024 (Mon) | 580 |
| | | (NEW!!) EXAM-2025-0001B | 26 Feb 2025 (Wed) | 19:00 - 20:30 | 26 Jan 2025 (Sun) | 600 |
| | | (NEW!!) EXAM-2025-0020B | 11 Jun 2025 (Wed) | 19:00 - 20:30 | 11 May 2025 (Sun) | 600 |
| Regulatory Framework and Compliance in Banking Industry (Credit: 10) | 2 | EXAM-2022-10347B | 16 Jul 2024 (Tue) | 19:00 – 20:30 | CLOSED | |
| | | EXAM-2022-10366B | 17 Dec 2024 (Tue) | 19:00 – 20:30 | 17 Nov 2024 (Sun) | 580 |
| | | (NEW!!) EXAM-2025-0003B | 28 Mar 2025 (Fri) | 19:00 – 20:30 | 28 Feb 2025 (Fri) | 600 |
| An Effective Compliance Function (Credit: 20) | 3 | EXAM-2022-10356 | 3 Sep 2024 (Tue) | 19:00 – 21:30 | CLOSED | |
| | | (NEW!!) EXAM-2025-0168 | 20 Mar 2025 (Thu) | 19:00 – 21:30 | 20 Feb 2025 (Thu) | 1,500 |
| Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30) | 4 | EXAM-2022-10345 | 30 Jul 2024 (Tue) | 19:00 - 22:00 | CLOSED | |
| | | EXAM-2022-10368 | 26 Nov 2024 (Tue) | 19:00 – 22:00 | 26 Oct 2024 (Sat) | 1,400 |
| | | (NEW!!) EXAM-2025-0013 | 29 May 2025 (Thu) | 19:00 – 22:00 | 29 Apr 2025 (Tue) | 1,500 |
| Investment and Insurance Compliance (Credit: 30) | 5 | EXAM-2022-10354 | 24 Sep 2024 (Tue) | 19:00 - 22:00 | CLOSED | |
| | | EXAM-2022-10369 | 7 Jan 2025 (Tue) | 19:00 – 22:00 | 7 Dec 2024 (Sat) | 1,500 |
| | | (NEW!!) EXAM-2025-0122 | 15 May 2025 (Thu) | 19:00 – 22:00 | 15 Apr 2025 (Tue) | 1,500 |

Special Note: Learners are required to complete the training before attempting the examination.

Programme Details:

- Programme/
Module Outline : Please refer to programme brochure and handbook for details.
- Venue: : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
- Enrolment : Applicants can submit the application via [MyHKIB](#) in advance at most 6 months before.

Notes:

| Note | Category | Details |
|------|-------------------------------|--|
| 1 | Training / Examination | HKIB reserves the right to cancel, change, and/or postpone the training and/or examination. |
| 2 | CPD | By attending the above training, Professional Qualification holders of AAMLPC, CAMLP, ARWP, CRWP, ACsP, AORP, CORP, ACOP, CCOP(GC), CCOP(IIC), ACRP, CCRP(CL), CCRP(CPM), CPFInT(A), CPFInT(M), CPFInT(S-AIBD), CPFInT(S-DLT), CPFInT(S-OBAPI), CPFInT(S-RT), AGFP(PS), AGFP(ClimRM), AGFP(SDR), AGFP(SSCC), CB (Stage I), CB (Stage II), CB, and CFMP are eligible to claim HKIB CPD hours. |
| 3 | FLEX Learning | The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training. |
| 4 | VAT Training Mode | Designated chapters of Module 3 & 4 are delivered using Video-Assisted Training with pre-recorded videos and facilitation support of designated teaching assistant. |
| 5 | WAM |  The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk . |
| 6 | Module Content | Module 1 and Module 2 are identical for both ECF-ORM and ECF-Compliance. Hence, an RP who has completed Module 1 and/or Module 2 under either of these ECF streams will not be required to complete the same module(s) under the other ECF stream. For example, if you have already completed ECF-ORM Module 1, you are not required to study ECF-Compliance Module 1. |
| 7 | Late Application | A late entry fee of HK\$200 will apply for late training and examination enrolment respectively. |
| 8 | Training Material | Digital version of training material (e.g. Study Guide, PPT) will be provided before training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners. |
| 9 | Fee Discount | HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively. |

For General Enquiries / Feedback:

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